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| 2 | 01-2015-1007 | PRECISE PACKAGING LLC   | CAA 113D1 Action For Penalty | 04/27/2015                 | Emergency Planning and Preparedness             | The SEP provides emergency response equipment to the local fire department to enhance its capacity to respond to chemical emergencies. The equipment includes mobile command equipment, decontamination equipment, and chemical response gear, and computers for emergency planning and field command use. | \$147,625         | \$57,369.00                 |
| 2 | 01-2015-1009 | PIONEER VALLEY REFRIGERATED WAREHOUSE DBA PIONEER COLD        | CAA 113D1 Action For Penalty | 07/16/2015                 | Emergency Planning and Preparedness             | Purchase of equipment for the local fire department.   | \$13,500          | \$41,000.00                 |
| 2 | 01-2015-1009 | PIONEER VALLEY REFRIGERATED WAREHOUSE DBA PIONEER COLD        | CAA 113D1 Action For Penalty | 07/16/2015                 | Pollution Reduction                             | SEP 2 Enhances safety/protects public health in surrounding EJ community in the event of a disaster.   | \$308,600         | \$41,000.00                 |
| 3 | 01-2015-1016 | MERIDEN CITY OF   | CAA 113D1 Action For Penalty | 09/29/2015                 | Pollution Prevention/Raw Materials Substitution | The Meriden Department of Public Utilities ("Meriden Public Utilities") will convert 7 water treatment facilities from the use of chlorine gas as a disinfectant to sodium hypochlorite.   | \$144,000         | \$10,000.00                 |
| 4 | 01-2015-1501 | NORWICH CITY OF / DR CHARLES SOLOMON WATER PURIFICATION PLANT | CAA 113D1 Action For Penalty | 03/31/2015                 | Pollution Prevention/Raw Materials Substitution | Respondent will substitute sodium hypochlorite for chlorine gas to disinfect its drinking water.   | \$144,697         | \$8,330.00                  |

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| 5 | 01-2015-1504 | GROTON TOWN OF GROTON WATER TREATMENT PLAN | CAA 113D1 Action For Penalty         | 03/31/2015                 | Pollution Prevention/Raw Materials Substitution | Groton is proposing to convert the disinfection process at the WTP from the storage and use of Chlorine gas to sodium hyperchlorite.                                      | \$268,200         | \$7,000.00                  |
| 6 | 01-2015-2008 | ARDAGH GLASS INC                           | CWA 309G2B AO For Class II Penalties | 08/07/2015                 | Pollution Reduction                             | SEP #1: Project aht will provide for the treatment of Stomwater prior to discharge.   | \$82,000          | \$103,440.00                |
| 6 | 01-2015-2008 | ARDAGH GLASS INC                           | CWA 309G2B AO For Class II Penalties | 08/07/2015                 | Pollution Reduction                             | SEP #2: This SEP will also provide for the treatment of Stormwater prior to discharge.  | \$32,000          | \$103,440.00                |
| 6 | 01-2015-2008 | ARDAGH GLASS INC                           | CWA 309G2B AO For Class II Penalties | 08/07/2015                 | Pollution Reduction                             | SEP #3: AGI is required to purchase equipment and materials for the Milford Fire Department that will reduce runoff to surface waters resulting from firefighting events. | \$7,700           | \$103,440.00                |

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| 7  | 01-2015-3001 | SPECIALY MINERALS INC / MINTEQ INTERNATIONAL INC | EPCRA 325 Action For Penalty | 06/11/2015                 | Emergency Planning and Preparedness   | In order to complete the SEP, the company will purchase and donate emergency response equipment and training materials, and/or classes to the Canaan Fire Company and the Torrington Fire Department that will allow for field readings and monitoring of conditions during hazardous waste materials incidents and for personal protection of emergency responders who might encounter hazardous chemicals in the field. | \$28,700          | \$76,500.00                 |
| 8  | 01-2015-3005 | LATICRETE INTERNATIONAL                          | EPCRA 325 Action For Penalty | 09/30/2015                 | Emergency Planning and Preparedness   | Provide emergency response equipment to the local fire department.  | \$1               |                             |
| 9  | 01-2015-4002 | DOW AGROSCIENCES LLC                             | FIFRA 14A Action For Penalty | 02/25/2015                 | Environmental Compliance Promotion  | Compliance promotion project is a multi-year, web-based FIFRA training with a particular focus on supplemental distribution.  | \$231,000         | \$182,640.00                |
| 10 | 01-2014-7556 | GARDEN HOMES MANAGEMENT CORP                     | TSCA 16 Action For Penalty   | 02/24/2015                 | Pollution Prevention/Improved Housekeeping/O&M, Training, Inventory Control | LBP abatement will occur in Bridgeport, CT property in an area identified as a potential EJ area of concern under EJ Screen. Lead abatement through window removal/replacement.   | \$20,000          | \$54,644.00                 |

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| 11 | 02-2009-0030 | PECHINEY PLASTICS PACKAGING, INC. ET AL. | Civil Judicial Action               | 03/11/2015                 | Environmental Restoration and Protection            | Pechiney Plastic Packaging, Inc. will preserve approximately 60 acres of land in Warren County as open space and protected from development in perpetuity. The land to be purchased, which is currently used for agricultural purposes, will be converted to native grassland and will become part of the Morris Canal Greenway. The land will be owned and managed by Warren County through its existing relationship with the New Jersey Youth Corps of Phillipsburg, a "second chance" program for young adults that provides them with the opportunity to earn their GED while providing a valuable service to the community. | \$1,149,000       | \$281,899.00                |
| 12 | 02-2013-0012 | GARDEN HOMES                             | Civil Judicial Action               | 09/16/2015                 | Environmental Restoration and Protection            | Donation of 108 acres of undeveloped land   | \$781,000         | \$225,000.00                |
|    | 02-2014-3305 | JANE CASLER D/B/A CASLER FARM (SB)       | CWA 309G2A AO For Class I Penalties | 03/30/2015                 | Pollution Prevention/Energy Efficiency-Conservation | The SEP consists of conserving 11.4 acres of fields in a natural state for 10 years, creating a 1-acre pollinator field and preserving it for 10 years, creating 7,500 square feet of wetlands and conserving them in perpetuity and creating a 160' X 1' X 4'-6" berm to be maintained as long as the property is used as a concentrated animal feeding operation.   | \$23,385          | \$14,000.00                 |

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| 13 | 02-2011-0014 | TOTAL PETROLEUM PUERTO RICO, CORP.          | Civil Judicial Action                  | 04/28/2015                 | Pollution Prevention/Equipment-Technology Modification | Under the consent decree, Total Puerto Rico will install, or upgrade to, a fully automated electronic release detection monitoring system at 137 facilities with USTs in active operation, and connect at least 125 of the facilities to a centralized monitoring system. The centralized monitoring component of the consent decree is a Next Generation technology that will enable Total Puerto Rico to rapidly identify and respond to actual or potential gas leaks at its gas stations with actively operating USTs, each of which will be equipped with on-site electronic release detection monitoring equipment that will be enhanced with the capability to transmit monitoring data to one central location on a 24/7/365 basis. | \$600,000         | \$426,000.00                |
| 14 | 02-2015-7501 | COUNTY OF MONMOUTH ET AL.                   | RCRA 9006 AO For Comp And/Or Pen (UST) | 09/17/2015                 | Pollution Prevention/Equipment-Technology Modification | Replace tanks at an additional site with an AST.  | \$276,000         | \$3,660.00                  |
| 15 | 02-2012-0005 | COUNTY OF WESTCHESTER (WATER DISTRICT NO.1) | Civil Judicial Action                  | 09/02/2015                 | Pollution Prevention/Energy Efficiency-Conservation    | Rain Barrel Distribution Program  | \$100,000         | \$1,108,771.00              |

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| 15 | 02-2012-0005 | COUNTY OF WESTCHESTER (WATER DISTRICT NO.1) | Civil Judicial Action                | 09/02/2015                 | Pollution Reduction                             | Enhanced Hazardous Household Chemical Collection  | \$338,529         | \$1,108,771.00              |
| 15 | 02-2012-0005 | COUNTY OF WESTCHESTER (WATER DISTRICT NO.1) | Civil Judicial Action                | 09/02/2015                 | Pollution Reduction                             | Enhanced Pharmaceutical Take Back Collection Schedule   | \$252,700         | \$1,108,771.00              |
| 16 | 03-2015-0023 | NUPRO INDUSTRIES CORPORATION                | CAA 113D1 Action For Penalty         | 01/30/2015                 | Pollution Prevention/Raw Materials Substitution | THE SEP INVOLVES THE REMOVAL OF THE FACILITY'S AMMONIA REFRIGERATION SYSTEM AND REPLACEMENT WITH A HYDRO-CHLOROFLUOROCARBON REFRIGERATION SYSTEM WHICH WILL USE A PROPYLENE GLYCOL AND WATER SOLUTION (WHICH IS NOT A CAA 112(r) HAZARDOUS SUBSTANCE).  | \$81,300          | \$13,685.00                 |
| 17 | 03-2015-0026 | BLEACHTECH, LLC                             | CERCLA 109 Action For Penalty        | 11/25/2014                 | Pollution Reduction                             | PURCHASE AND INSTALL TRACK PANS AT EACH OF ITS EIGHT (8) RAIL LOADING STATIONS AT THE FACILITY, TO MITIGATE THE POTENTIAL OF SPILLING HAZARDOUS CHEMICALS INTO THE ENVIRONMENT DURING LOADING AND UNLOADING OPERATIONS, AND TO MAINTAIN THE SYSTEM FOR A PERIOD OF NO LESS THAN FIVE (5) YEARS. | \$106,100         | \$150,000.00                |
| 18 | 03-2015-0069 | CITY OF NORFOLK, VIRGINIA                   | CWA 309G2B AO For Class II Penalties | 12/29/2014                 | Environmental Restoration and Protection        | REMOVAL OF BULKHEAD AND CREATION OF A LIVING SHORELINE  | \$90,000          | \$7,500.00                  |

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| 19 | 03-2015-0035 | POWERCON CORPORATION                                     | RCRA 3008A AO For Comp And/Or Penalty  | 09/22/2015                 | Pollution Reduction                      | Install and operate unit that skims, filters, aerates and allows Respondent to reuse cutting fluid.    | \$2,156           | \$40,000.00                 |
| 20 | 03-2015-0081 | MARYLAND STATE POLICE - AVIATION DIVISION (2 FACILITIES) | RCRA 9006 AO For Comp And/Or Pen (UST) | 03/25/2015                 | Environmental Restoration and Protection | MDSP WILL REPLACE TWO USTs AT ITS 1201 RESISTERSTOWN ROAD SITE WITH ONE ABOVE GROUND STORAGE TANK      | \$88,589          | \$7,812.00                  |
| 21 | 04-2014-1512 | ASCEND PERFORMANCE MATERIAL OPERATIONS LLC               | CAA 113D1 Action For Penalty           | 11/25/2014                 | Emergency Planning and Preparedness      | PURCHASE EQUIPMENT TO DONATE TO THE CITY OF DECATUR, ALABAMA, FIRE & RESCUE DEPARTMENT.                | \$14,601          | \$3,000.00                  |
| 22 | 04-2014-1517 | JEFFERSON COUNTY, ALABAMA (VILLAGE CREEK WWTP)           | CAA 113D1 Action For Penalty           | 11/18/2014                 | Emergency Planning and Preparedness      | PURCHASE AND DONATION OF EMERGENCY RESPONSE EQUIPMENT TO JEFFERSON COUNTY EMERGENCY MANAGEMENT AGENCY. | \$53,880          | \$12,950.00                 |
| 23 | 04-2015-1504 | SOUTHERN STATES COOPERATIVE, INCORPORATED                | CAA 113D1 Action For Penalty           | 01/15/2015                 | Emergency Planning and Preparedness      | TRAINING AND DONATION OF EQUIPMENT TO THE CLAY FIRE DEPARTMENT.  | \$15,747          | \$4,202.00                  |
| 24 | 04-2015-1506 | PILGRIM'S PRIDE CORPORATION                              | CAA 113D1 Action For Penalty           | 03/25/2015                 | Emergency Planning and Preparedness      | DONATION OF EQUIPMENT TO THE CHATTANOOGA FIRE DEPARTMENT.  | \$10,422          | \$36,662.00                 |

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| 25 | 04-2015-1507 | CUSTOM FOOD PRODUCTS, LLC             | CAA 113D1 Action For Penalty | 03/11/2015                 | Emergency Planning and Preparedness                 | DONATION OF EQUIPMENT TOTHE OWINGSVILLE VOLUNTEER DEPARTMENT AND THE BATH COUNTY SHERIFF DEPARTMENT.  | \$84,504          | \$26,197.00                 |
| 26 | 04-2015-1511 | CLARK IRON & METAL CO, INC (COE CAFO) | CAA 113D1 Action For Penalty | 05/06/2015                 | Pollution Prevention/Energy Efficiency-Conservation | Replace outdoor lighting at a school with energy-efficient LED lights.  | \$27,000          | \$9,000.00                  |
| 27 | 04-2015-1514 | WISE ALLOYS, LLC                      | CAA 113D1 Action For Penalty | 09/03/2015                 | Emergency Planning and Preparedness                 | PURCHASE AND DONATION OF EQUIPMENT TO THE MUSCLE SHOALS FIRE DEPARTMENT.  | \$18,992          | \$4,900.00                  |
| 28 | 04-2015-1588 | FOUR LANE CAR SALES (COE APO/CAFO)    | CAA 113D1 Action For Penalty | 09/25/2015                 | Pollution Prevention/Energy Efficiency-Conservation | Metropolitan Nashville Public Schools will complete an Energy Conservation and Indoor Air Quality Improvement Project at Taylor Stratton Elementary School. The project will consist of the installation of an energy management system and associated controls and sensors combined with the LED lighting. | \$6,375           | \$2,125.00                  |
| 29 | 04-2015-1590 | SHRUM AUTO SALVAGE, LLC (COE CAFO)    | CAA 113D1 Action For Penalty | 07/21/2015                 | Pollution Prevention/Energy Efficiency-Conservation | Upgrading existing 400 W lighting to 150W LED lighting  | \$18,750          | \$6,250.00                  |



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| 30 | 04-2015-1595 | ALL STAR RECYCLING INC. (COE CAFO) | CAA 113D1 Action For Penalty  | 07/21/2015                 | Pollution Prevention/Energy Efficiency-Conservation | Nashville Metro Public School: Energy Conservation and Indoor Air Quality Improvement Project at Fall-Hamilton Elementary. The project will consist of the installation of an energy management system and associated controls and sensors combined with the LED lighting. | \$15,000          | \$5,000.00                  |
| 31 | 04-2015-2004 | WILSON, NC, CITY OF                | CERCLA 109 Action For Penalty | 06/09/2015                 | Emergency Planning and Preparedness                 | EQUIPMENT PURCHASE FOR SPILLS: BOOMS, ABSORBENT PADS FOR WILSON COUNTY LEPC.   | \$4,172           | \$1,113.00                  |
| 32 | 04-2015-2008 | GEORGIA POWER COMPANY              | CERCLA 109 Action For Penalty | 06/24/2015                 | Emergency Planning and Preparedness                 | PURCHASE EQUIPMENT FOR HEARD COUNTY FIRE & EMERGENCY SERVICES.   | \$1,341           | \$358.00                    |
| 33 | 04-2015-2009 | GULF COAST COLD STORAGE, INC.      | CERCLA 109 Action For Penalty | 08/20/2015                 | Emergency Planning and Preparedness                 | PURCHASE AND DONATE EQUIPMENT TO PASCAGOULA FIRE DEPARTMENT.   | \$15,654          | \$4,767.00                  |
| 34 | 04-2015-2026 | WHITAKER OIL COMPANY               | CERCLA 109 Action For Penalty | 07/22/2015                 | Emergency Planning and Preparedness                 | PURCHASE AND DONATION OF FIRE-FIGHTING EQUIPMENT TO THE CITY OF ATLANTA FIRE AND RESCUE DEPARTMENT.  | \$5,107           | \$1,699.00                  |
| 35 | 04-2015-2029 | RICH PRODUCTS CORPORATION          | EPCRA 325 Action For Penalty  | 09/08/2015                 | Emergency Planning and Preparedness                 | PURCHASE AND DONATE EQUIPMENT TO GLYNN COUNTY FIRE DOCUMENT.   | \$17,235          | \$4,323.00                  |

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| 36 | 04-2015-2030 | SOUTHERN STATES PHOSPHATE AND FERTILIZER COMPANY | CERCLA 109 Action For Penalty           | 09/22/2015                 | Emergency Planning and Preparedness      | PURCHASE AND DONATION OF FIRE-FIGHTING AND RADIATION DETECTION EQUIPMENT TO THE CITY OF SAVANNAH FIRE AND EMERGENCY SERVICES.   | \$30,935          | \$7,969.00                  |
| 37 | 04-2015-2035 | DSM CHEMICALS NORTH AMERICA, LLC                 | EPCRA 325 Action For Penalty/CERCLA 109 | 09/08/2015                 | Emergency Planning and Preparedness      | PURCHASE AND DONATE EQUIPMENT TO MERRIWETHER FIRE DEPARTMENT AND HEPHZIBAH FIRE DEPARTMENT.   | \$158,578         | \$42,188.00                 |
| 38 | 04-2015-2039 | EASTMAN CHEMICAL COMPANY                         | EPCRA 325 Action For Penalty/CERCLA 109 | 09/22/2015                 | Emergency Planning and Preparedness      | PURCHASE OF EMERGENCY RESPONSE EQUIPMENT FOR THE SULLIVAN COUNTY EMS.   | \$18,823          | \$5,000.00                  |
| 39 | 04-2006-9011 | LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT        | Civil Judicial Action                   | 01/03/2011                 | Environmental Restoration and Protection | STREAM CORRIDOR RESTORATION AND PRESERVATION  | \$1,000,000       | \$425,000.00                |
| 39 | 04-2006-9011 | LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT        | Civil Judicial Action                   | 01/03/2011                 | Other Program Specific SEP               | GREEN INFRASTRUCTURE  | \$230,000         | \$425,000.00                |
| 40 | 04-2014-2022 | SHERMAN DIXIE CONCRETE INDUSTRIES, INC. (NC)     | EPCRA 325 Action For Penalty            | 01/27/2015                 | Pollution Reduction                      | PAVING OF SEVERAL LARGE UNPAVED AREA AT THE CHATTANOOGA, ELIZABETHTOWN, FRANKLIN, HERMITAGE, AND LEXINGTON FACILITIES. THE PAVING IS EXPECTED TO SIGNIFICANTLY REDUCE PARTICULATE MATTER EMISSIONS. | \$327,473         | \$66,281.00                 |

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| 41 | 04-2014-2037 | EASTMAN CHEMICAL COMPANY        | EPCRA 325 Action For Penalty/CERCLA 109 | 10/07/2014                 | Emergency Planning and Preparedness | PURCHASE AND DONATE EQUIPMENT TO LOCAL FIRE DEPT.  | \$78,818          | \$19,925.00                 |
| 42 | 04-2014-2044 | ANITOX CORPORATION              | EPCRA 325 Action For Penalty            | 11/12/2014                 | Emergency Planning and Preparedness | PURCHASE AND DONATE EQUIPMENT TO GWINNETT COUNTY FIRE DEPARTMENT   | \$88,118          | \$24,816.00                 |
| 43 | 04-2014-2046 | NASH-FINCH COMPANY              | EPCRA 325 Action For Penalty/CERCLA 109 | 12/30/2014                 | Emergency Planning and Preparedness | PURCHASE OF EQUIPMENT AND DONATION TO ROBESON COUNTY EMERGENCY MANAGEMENT.   | \$26,863          | \$7,305.00                  |
| 44 | 04-2015-2003 | 3V INC.                         | EPCRA 325 Action For Penalty/CERCLA 109 | 03/19/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATE EQUIPMENT TO LOCAL EMERGENCY PLANNING COMMITTEE.   | \$15,990          | \$4,498.00                  |
| 45 | 04-2015-2005 | AULICK CHEMICAL SOLUTIONS, INC. | EPCRA 325 Action For Penalty            | 04/21/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATE EQUIPMENT TO LOCAL EMERGENCY PLANNING COMMITTEE.   | \$45,624          | \$12,251.00                 |
| 46 | 04-2015-2013 | AW NORTH CAROLINA, INC.         | EPCRA 325 Action For Penalty            | 09/15/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATION OF THERMAL IMAGER, FIRE HOSES AND SPILL RESPONSE/CONTAINMENT PRODUCTS TO BAHAMA FIRE DEPT (DURHAM COUNTY, NC). | \$28,609          | \$5,186.00                  |
| 47 | 04-2015-2014 | WESTLAKE PVC CORPORATION        | EPCRA 325 Action For Penalty/CERCLA 109 | 05/19/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATE EQUIPMENT TO MARSHALL COUNTY EMERGENCY MANAGEMENT .  | \$27,907          | \$12,189.00                 |

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| 48 | 04-2015-2017 | PILKINGTON NORTH AMERICA, INC.         | EPCRA 325 Action For Penalty | 05/19/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATION OF MOBILE DATA TERMINALS (MDTs) AND MOUNTING ACCESSORIES TO SCOTLAND COUNTY EMERGENCY SERVICES. | \$12,539          | \$2,876.00                  |
| 49 | 04-2015-2024 | GLIDEWELL SPECIALITIES FOUNDRY COMPANY | EPCRA 325 Action For Penalty | 09/03/2015                 | Emergency Planning and Preparedness | PURCHASE AND DONATE FIVE PORTABLE RADIO SYSTEMS.  | \$9,176           | \$6,114.00                  |
| 50 | 04-2015-2032 | FM MEAT PRODUCTS                       | EPCRA 325 Action For Penalty | 08/20/2015                 | Emergency Planning and Preparedness | EQUIPMENT PURCHASE FOR LOCAL FIRE DEPARTMENT, SPECIFICALLY; MARION COUNTY FIRE DEPARTMENT.                            | \$8,843           | \$17,301.00                 |
| 51 | 04-2015-2037 | RAYONIER PERFORMANCE FIBERS, LLC       | EPCRA 325 Action For Penalty | 09/08/2015                 | Emergency Planning and Preparedness | PURCHASE OF EMERGENCY RESPONSE EQUIPMENT FOR THE WAYNE COUNTY EMERGENCY MANAGEMENT                                    | \$8,226           | \$2,161.00                  |

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|    | Case Number  | Case Name                                     | Enforcement Action Type | Final Order Issued/Entered | SEP Category                             |  | Total Cost of SEP | EPA Penalty Assessed Amount |
|----|--------------|---|-------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 52 | 05-2010-2600 | METAL DYNAMICS<br>DETROIT, LLC. (MARS)        | Civil Judicial Action   | 02/12/2015                 | Environmental Compliance Promotion       | Metal Dynamics will perform two supplemental environmental projects (SEPs) cumulatively valued at \$400,000: an Intact Appliance Pilot Program and a Torch Cutting Opacity Reduction Program. The Intact Appliance Pilot Program SEP will provide education and economic incentives to scrap metal suppliers in an effort to prevent the venting of CFC-containing gases to the atmosphere from appliances. The Torch Cutting Opacity Reduction Program consists of the purchase of a portable particulate matter control device, which is a new technology that has the potential to capture currently uncontrolled particulate matter during torch-cutting operations. | \$400,000         | \$110,000.00                |
| 53 | 05-2010-6751 | SUNCOKE ENERGY INC<br>(GATEWAY AND HAVERHILL) | Civil Judicial Action   | 11/07/2014                 | Environmental Restoration and Protection | The St. Louis Lead Prevention Coalition works to reduce and eliminate lead exposure in the St. Louis metro area by engaging adjacent communities to identify and remove sources and potential sources of contaminated lead paint in area homes. SunCoke will work with the Coalition to fund \$255,000 worth of specific projects focused on lead removal from paint and windows in homes where lead swab tests have shown lead contamination.   | \$255,000         | \$1,270,000.00              |

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|----|--------------|---|------------------------------|----------------------------|--|---|-------------------|-----------------------------|
| 54 | 05-2012-5053 | AK STEEL FKA SEVERSTAL DEARBORN - CD  | Civil Judicial Action        | 08/21/2015                 | Public Health  | AK Steel will procure and install an enhanced air filtration system at an elementary and intermediate school located near the facility, which should result in improved air quality within the schools. The two schools are located within a heavily industrialized area in southwest Detroit that is considered to be an environmental justice area.   | \$269,600         | \$676,563.00                |
| 55 | 05-2013-5013 | GOPHER RESOURCE CORP  | CAA 113D1 Action For Penalty | 09/25/2015                 | Pollution Prevention/Equipment-Technology Modification | 1) Lead-Based Paint Abatement and 2) SO2 Reduction Through Pollution Prevention and Pollution Reduction   | \$1,148,617       |                             |
| 56 | 05-2014-7269 | INHANCE TECHNOLOGIES LLC (NATIONAL CASE) (WEST CHICAGO) COMPLAINT CAA 112(R)(7) EPCRA 312 | EPCRA 325 Action For Penalty | 01/06/2015                 | Pollution Prevention/Equipment-Technology Modification | Within 30 days of the effective date of the Final Order, Respondent shall begin to design a hydrogen fluoride recovery system that will be installed and implemented at each of Respondent's facilities covered by the complaint, which will recycle hydrogen fluoride, reducing the amount of residual hydrogen fluoride directed to the aluminum oxide scrubbers, to be completed no later than 18 months from the effective date of this CAFO. | \$180,000         | \$59,000.00                 |
| 57 | 05-2015-5016 | BEHR - CAFO   | CAA 113D1 Action For Penalty | 12/30/2014                 | Environmental Restoration and Protection               | Diesel school bus replacement   | \$75,000          | \$30,000.00                 |

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|----|--------------|--------------------------------|------------------------------|----------------------------|---|---|-------------------|-----------------------------|
| 58 | 05-2015-5020 | ULTRA PLATING - APO/CAFO       | CAA 113D1 Action For Penalty | 02/09/2015                 | Pollution Reduction                                 | Installation of two cartridge dust collectors at the facility   | \$91,114          | \$21,988.00                 |
| 59 | 05-2015-5022 | TATE & LYLE - APO/CAFO         | CAA 113D1 Action For Penalty | 02/12/2015                 | Pollution Reduction                                 | Tate & Lyle will perform two SEPs. The first SEP is the modification of an existing scrubber at a Germ Drying System to allow for the addition of caustic at the scrubber. The addition of caustic will reduce SO2 emissions from the unit. The second SEP is the addition of a scrubber with caustic at a Fiber Filtrate Tank that will also reduce SO2 emissions. | \$820,000         | \$150,000.00                |
| 60 | 05-2015-5038 | ATLAS RESINS - APO/CAFO        | CAA 113D1 Action For Penalty | 03/31/2015                 | Pollution Prevention/Energy Efficiency-Conservation | Atlas will provide \$40,000 to upgrade the HVAC systems at Lincoln Elementary School in Merrilan, Wisconsin to improve indoor air quality, reduce environmental asthma triggers and allergens, and improve energy efficiency.   | \$40,000          | \$8,500.00                  |
| 61 | 05-2015-5039 | BLUFF CITY MINERALS - APO/CAFO | CAA 113D1 Action For Penalty | 04/15/2015                 | Pollution Reduction                                 | The SEP involves installation of a new baghouse that goes above and beyond what is required to reduce particulate matter emissions from multiple processes.   | \$165,098         | \$40,329.00                 |

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|----|--------------|-------------------------------|------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 62 | 05-2015-5041 | MADISON-KIPP APO/CAFO         | CAA 113D1 Action For Penalty | 04/06/2015                 | Pollution Prevention/Energy Efficiency-Conservation    | The first SEP, with a value of \$64,000 will replace a faulty and inefficient chiller at a community center located adjacent to the MKC facility. The second SEP, with a value of \$40,000, will replace inefficient windows and frames at the facility with new, energy efficient units.  | \$180,000         | \$35,000.00                 |
| 63 | 05-2015-5043 | WHITING PETROLEUM - APO/CAFO  | CAA 113D1 Action For Penalty | 05/12/2015                 | Pollution Reduction                                    | Replacement of two mid-1990s model year diesel-powered school buses owned by the local school district with two new buses that meet 2014 or later emissions standards  | \$204,280         | \$58,250.00                 |
| 64 | 05-2015-5050 | PERSTORP POLYOLS, INC. (CAFO) | CAA 113D1 Action For Penalty | 06/17/2015                 | Pollution Prevention/Equipment-Technology Modification | Perstorp developed a supplemental environmental project (SEP) designed to protect the environment and public health by installing a second catalytic incinerator which will serve as the primary incinerator for the Penta plant at Perstorp's facility and the backup incinerator for Perstorp's TMP plant. This new catalytic incinerator will provide redundancy to Perstorp's emission control system, increase Perstorp's operational flexibility, and decrease the variability in organic loads being treated at its facility. | \$500,000         | \$56,250.00                 |
| 65 | 05-2015-5058 | SUPERIOR MARINE - APO/CAFO    | CAA 113D1 Action For Penalty | 08/18/2015                 | Pollution Prevention/Equipment-Technology Modification | Installation of two baghouses in the South Point Blast and Paint Building.   | \$309,000         | \$41,000.00                 |



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|    | Case Number  | Case Name   | Enforcement Action Type      | Final Order Issued/Entered | SEP Category                              |  | Total Cost of SEP | EPA Penalty Assessed Amount |
|----|--------------|---|------------------------------|----------------------------|---|--|-------------------|-----------------------------|
| 66 | 05-2015-5081 | I. SCHUMANN & COMPANY, INC                        | CAA 113D1 Action For Penalty | 09/25/2015                 | Public Health                             | Lead abatement work in residential and day care facilities.  | \$124,410         | \$40,000.00                 |
| 67 | 05-2015-7287 | HAMMOND WATER FILTRATION PLANT (HAMMOND, IN) CAFO | CAA 113D1 Action For Penalty | 10/28/2014                 | Pollution Prevention/In-Process Recycling | Respondent must complete SEP 1 which consists of Respondent installing emergency stop valves on the chlorine supply process for the facility, and must spend at least \$24,000 for the engineering design, project management, purchase and installation of SEP 1. COMPLETION: Both of these SEPs were completed on or before 4/26/2015. | \$77,000          | \$51,700.00                 |

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|----|--------------|--|------------------------------|----------------------------|--|---|-------------------|-----------------------------|
| 68 | 05-2015-7307 | THE CITY OF ALMA (ALMA MI) CAFO            | CAA 113D1 Action For Penalty | 05/21/2015                 | Environmental Restoration and Protection | Respondent must complete a SEP designed to protect the environment and public health by replacing its use of chlorine gas with sodium hypochlorite. Respondent must complete the SEP within 120 days after the filing of the CAFO to discontinue the use of Chlorine gas and convert its processes to sodium hypochlorite as follows: a) Construct and addition at the Alma facility which will include a new filter and pipe gallery along with a pump area/mechanical space. The addition will also include new chemical/feed spaces for ferric chloride and sodium hypochlorite. Other renovations will be completed as needed or recommended; b) Replace the process equipment to support a sodium hypochlorite treatment system; c) Discontinue the use of Chlorine gas. | \$84,975          | \$18,025.00                 |
| 69 | 05-2015-7902 | FLORACRAFT CORPORATION (LUDINGTON MI) CAFO | CAA 113D1 Action For Penalty | 02/04/2015                 | Environmental Restoration and Protection | Respondent proposed to triple the capacity of deluge fire-suppression systems at its facility in Ludington, Michigan, to limit any potential releases of regulated materials at the facility from fire or explosion.  | \$55,130          | \$25,464.00                 |

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|    | Case Number  | Case Name   | Enforcement Action Type                          | Final Order Issued/Entered | SEP Category                                   |   | Total Cost of SEP | EPA Penalty Assessed Amount |
|----|--------------|---|--|----------------------------|--|---|-------------------|-----------------------------|
| 70 | 05-2015-7326 | MARION ETHANOL, LLC<br>D/B/A POET BIOREFINING<br>(MARION OH) CAFO | EPCRA 325 Action<br>For<br>Penalty/CERCLA<br>109 | 07/24/2015                 | Pollution Reduction                            | Respondent must purchase and install, at both man-<br>doors to the production building, a light tree designed<br>to warn employees of high levels of anhydrous<br>ammonia; b. within 360 days of the effective date of<br>this CAFO, Respondent must provide the local<br>emergency responders with anhydrous ammonia<br>safety training, and c. within 30 days of the effective<br>date of this CAFO, Respondent must purchase and<br>start utilizing a hand-held instrument capable of<br>measuring anhydrous ammonia and finding ammonia<br>leaks. | \$8,789           | \$34,000.00                 |
| 71 | 05-2015-7327 | US FOODS, INC.<br>(FAIRFIELD OH) CAFO                             | EPCRA 325 Action<br>For<br>Penalty/CERCLA<br>109 | 07/24/2015                 | Emergency Planning and<br>Preparedness         | Within 90days of the effective date of this CAFO,<br>Respondent must complete the SEP as follows: a)<br>purchase at least \$70,825 in equipment for the<br>Seabrook Fire Department, including cutter blades,<br>spreader, etc , as outlined in this CAFO, and must<br>spend at least \$\$69,800 for the Flowood Fire<br>Department in equipment as outlined in this CAFO,<br>with a total expenditure of \$140,625.  | \$140,625         | \$37,500.00                 |
| 72 | 05-2004-0636 | CITY OF LIMA WWTP   | Civil Judicial Action                            | 01/15/2015                 | Environmental<br>Restoration and<br>Protection | Ottawa River stream bank revitalization Supplemental<br>Environmental Project   | \$218,400         | \$24,500.00                 |

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|----|--------------|--|--|----------------------------|---|--|-------------------|-----------------------------|
| 73 | 05-2015-7903 | EMULSIONS, INC.<br>(LAWRENCEVILLE IL) CAFO | CWA 311B6B2 AO<br>For Class II Penalty | 02/10/2015                 | Pollution Reduction                             | a. Respondent must install an automated valve control system, and associated equipment to control and monitor flow rates of raw materials pumped to the production mill used to fill Respondent's Emulsion Shipping Tanks numbering 1- 13 and 17-25; b. preset production totals entered by the operator when milling product in Shipping Tanks 1-13 and 17-20 shall alarm if the preset total is reached; c. Respondent must install transmitters and associated equipment for tank level monitoring with high level alarms on Shipping Tanks numbering 21-25; d. the installed automated valve control system with production totals and tank level monitoring shall have its user interface present in the milling area. Audio and visual alarms from this system will occur both in the milling area and plant office. | \$175,000         | \$26,238.00                 |
| 74 | 05-2015-0089 | ALL FLEX FLEXIBLE CIRCUITS                 | EPCRA 325 Action<br>For Penalty        | 07/15/2015                 | Pollution Prevention/Raw Materials Substitution | Replace lead surface coat with a gold surface coating  | \$47,158          | \$12,038.00                 |
| 74 | 05-2015-0089 | ALL FLEX FLEXIBLE CIRCUITS                 | EPCRA 325 Action<br>For Penalty        | 07/15/2015                 | Pollution Reduction                             | Installation of recirculation System and lead filtration system for the in line cleaner on their manufacturing line  | \$43,267          | \$12,038.00                 |

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|----|--------------|--|------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 74 | 05-2015-0089 | ALL FLEX FLEXIBLE CIRCUITS   | EPCRA 325 Action For Penalty | 07/15/2015                 | Pollution Reduction                                    | No loss Rain Stack Venting   | \$3,063           | \$12,038.00                 |
| 75 | 05-2015-7284 | WHIRLPOOL CORPORATION (CLYDE OH) CAFO  | EPCRA 325 Action For Penalty | 10/03/2014                 | Emergency Planning and Preparedness                    | Whirlpool will purchase and donate 21 self-contained breathing apparatus units to the Clyde Fire Department.   | \$81,900          | \$23,607.00                 |
| 76 | 05-2015-7300 | QPI HOLDINGS, INC., F/K/A QUALITY PROPANE INC. (BURNSVILLE AND HASTINGS MN) CAFO | EPCRA 325 Action For Penalty | 03/10/2015                 | Emergency Planning and Preparedness                    | The SEPS include purchasing and donating emergency response equipment to the Burnsville Fire Department and upgrading the security and alarm system at the Burnsville facility. Respondent must spend at least \$47,987.00 for the SEPS described in the CAFO, specifically \$32,700.00 on Project A and \$15,287.00 on Project B. | \$47,987          | \$15,718.00                 |
| 77 | 05-2015-7303 | SUMMIT BREWING COMPANY (ST. PAUL MN) CAFO  | EPCRA 325 Action For Penalty | 04/03/2015                 | Pollution Prevention/Equipment-Technology Modification | Respondent will install a bulk caustic product receiving/holding tank and install the necessary piping and safety system to safely transfer caustic product solution to areas of use in the facility without using drums, forklifts and pallet jacks.  | \$52,092          | \$7,825.00                  |

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|----|--------------|--|------------------------------|----------------------------|------------------------------------|---|-------------------|-----------------------------|
| 78 | 05-2015-7306 | SPARTAN MOTORS, INC. (CHARLOTTE MI) CAFO | EPCRA 325 Action For Penalty | 04/24/2015                 | Environmental Compliance Promotion | At its Charlotte MI location, Respondent must complete the SEP as follows: a. create an EMS manual (including an implementation schedule) consistent with USEPA's guidance within 60 days of the effective date of this CAFO, with a copy to the USEPA for review and approval; b. upon receipt of EPA approval of the manual, perform eight quarterly comprehensive assessment audits; c. conduct a training event for personnel covering all aspects of the EMS within 180 days of the effective date of this CAFO; d. create and submit to EPA a draft EMS audit plan within 270 days of the effective date of this CAFO; e. within 30 days of receipt of EPA comments, create and submit a final audit plan; f. within 60 days of the submittal of the final audit plan, perform the first annual EMS audit; and g. perform a second annual EMS audit by the second anniversary of the effective date of this CAFO. | \$92,910          | \$50,000.00                 |

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|----|--------------|---|---------------------------------------|----------------------------|---------------------|---|-------------------|-----------------------------|
| 79 | 05-2015-7900 | HARDY INDUSTRIAL TECHNOLOGIES (PAINESVILLE OH) CAFO | EPCRA 325 Action For Penalty          | 01/22/2015                 | Pollution Reduction | The SEP will reduce the amount of hazardous nickel catalyst entering the facility's waste stream. The SEP consists of overhauling and utilizing a fractionation process at its Painesville facility instead of the hydrogenation process for approximately half of Respondent's production. This change in process is estimated to reduce the amount of nickel catalyst usage by 128,000 pounds annually.<br>At its Painesville Ohio facility, Respondent must complete the SEP as follows:<br>a. within six months of the effective date of this CAFO, Respondent will overhaul the antiquated fractionation system at the facility; and<br>b. will operate the overhauled fractionation system at the facility for at least three years, subject to the conditions described in the CAFO. | \$200,000         | \$31,559.07                 |
| 80 | 05-2015-9906 | THE KRAEMER COMPANY                                 | RCRA 3008A AO For Comp And/Or Penalty | 03/12/2015                 | Pollution Reduction | SEP requires The Kraemer Company to purchase and use a mobile baghouse unit in conjunction with and at all times the company uses its limestone milling equipment for 24 months after the effective date of the CAFO.   | \$177,729         | \$62,220.00                 |

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|----|--------------|-----------------------------------|---------------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 81 | 05-2015-9909 | MECA AND TECHNOLOGY MACHINE, INC. | RCRA 3008A AO For Comp And/Or Penalty | 06/02/2015                 | Pollution Prevention/Equipment-Technology Modification | Eliminate liquid copper sulfate hazardous waste stream. Install a reduction unit that includes steel plates and begin plating the copper to the steel plate and physically removing the copper, obviating the need to use sulfuric acid. | \$113,801         | \$57,888.85                 |
| 82 | 05-2015-9909 | MECA AND TECHNOLOGY MACHINE, INC. | RCRA 3008A AO For Comp And/Or Penalty | 06/02/2015                 | Pollution Prevention/Raw Materials Substitution        | Eliminate acetone hazardous waste stream. Company will substitute Hydrite Blend 5002 instead of acetone to clean rollers.  | \$37,610          | \$57,888.85                 |
| 83 | 05-2015-0044 | GRACE WHITNEY PROPERTIES LLC      | TSCA 16 Action For Penalty            | 03/18/2015                 | Public Health  | Respondent must complete a SEP designed to protect public health by replacing a total of 14 windows in 3 properties. A minimum of \$8,483 must be spent.   | \$8,483           | \$943.00                    |



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|----|--------------|---|------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 84 | 05-2015-0059 | STEINAGEL MANAGEMENT SERVICES, LLC                  | TSCA 16 Action For Penalty   | 03/31/2015                 | Public Health  | Respondent must complete a SEP designed to protect the public health by abating lead-based paint hazards.  | \$13,448          | \$1,793.00                  |
| 85 | 05-2015-0063 | CITY OF KALAMAZOO                                   | TSCA 16 Action For Penalty   | 05/27/2015                 | Pollution Prevention/Equipment-Technology Modification | The project is to replace a lead-containing water main and service lines in a specific neighborhood in the City of Kalamazoo (identified as a neighborhood of potential EJ concern by the City and by EJSCREEN indices).   | \$32,500          | \$7,200.00                  |
| 86 | 06-2008-3404 | PCS NITROGEN FERTILIZER, L.P. (NATIONAL CASE)(LEAD) | Civil Judicial Action        | 02/26/2015                 | Pollution Prevention/Equipment-Technology Modification | Nitric Acid SCR SEP-By no later than 24 months after the Effective Date, PCS Nitrogen Fertilizer, L.P. shall install a SCR for Nitric Acid Train No. 4 at the Geismar Nitric Acid Plant.   | \$2,500,000       | \$118,750.00                |
| 87 | 06-2014-3304 | CITGO CORPUS CHRISTI REFINERY EAST                  | CAA 113D1 Action For Penalty | 05/21/2015                 | Emergency Planning and Preparedness                    | Respondent has selected the Corpus Christi Fire Department, Corpus Christi, Texas ("CCFD") to receive the SEP. Respondent will provide the following activities, services or products to CCFD:<br>1. Facilitate, at its sole expense, the acquisition for the CCFD of the first responder equipment identified in Exhibit "A;"<br>2. Confirm that CCFD has received from the vendor the first responder equipment identified in Exhibit "A" from the respective vendors. | \$117,038         | \$266,369.60                |

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|----|--------------|--|------------------------------|----------------------------|--|---|-------------------|-----------------------------|
| 88 | 06-2015-3315 | ENTERPRISE PRODUCTS OPERATING (MONT BELVIEU COMPLEX) | CAA 113D1 Action For Penalty | 04/06/2015                 | Environmental Restoration and Protection | The Respondent shall purchase the following equipment for the Mont Belvieu Department:<br>A. The Respondent shall purchase thirty (30) Scott Safety EPIC Voice Amplifiers that could be used in connection with self-contained breathing apparatuses. These voice amplifiers would assist the Mont Belvieu Fire Department personnel in oral communications where selfcontained breathing apparatus?s are needed ..<br>B. The Respondent shall purchase three Holmatro rams (1-Model4340 and 2-Model 4350). These hydraulic tools would be used during emergency responses to assist with rescues from collapsed, small confined spaces | \$22,000          | \$378,215.00                |
| 89 | 06-2015-3348 | MARATHON PETROLEUM COMPANY LP                        | CAA 113D1 Action For Penalty | 09/01/2015                 | Emergency Planning and Preparedness      | The Respondent has selected the St. John the Baptist Parish Department of Emergency Preparedness ("St. John"), LaPlace Louisiana, to receive the SEP. Within two hundred seventy (270) days ofthe effective date of this CAFO, Respondent shall purchase, at its sole expense, approximately 41 MSA GI SCBA air packs for St. John.   | \$202,500         | \$54,000.00                 |
| 90 | 06-2015-3507 | SUMCO PHOENIX CORPORATION                            | CAA 113D1 Action For Penalty | 04/23/2015                 | Environmental Restoration and Protection | Respondent adhere to a supplement environmental project (SEP) to implement a hydrogen chloride tube trailer storage. The Respondent shall construct a new building for the HCL trailers.  | \$216,750         | \$72,250.00                 |

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|    | Case Number  | Case Name                                 | Enforcement Action Type              | Final Order Issued/Entered | SEP Category   |   | Total Cost of SEP | EPA Penalty Assessed Amount |
|----|--------------|---|--------------------------------------|----------------------------|--|---|-------------------|-----------------------------|
| 91 | 06-2005-2150 | FORT SMITH, CITY OF                       | Civil Judicial Action                | 04/06/2015                 | Pollution Reduction                                    | Repair or replacement of Private Service Lines for property owners who qualify as low income residential property owners.   | \$400,000         | \$300,000.00                |
| 92 | 06-2014-1769 | BATON ROUGE, CITY OF                      | CWA 309G2B AO For Class II Penalties | 02/26/2015                 | Pollution Prevention/Equipment-Technology Modification | The SEP shall involve a pollution prevention project at the Canoe Bend Lake that will prevent pollutants and sediments from entering the lake and downstream waterbodies (Dawson's Creek, Ward's Creek, and Bayou Manchac).   | \$167,000         | \$44,802.00                 |
| 93 | 06-2014-0516 | SEADRIFT COKE LP                          | EPCRA 325 Action For Penalty         | 02/18/2015                 | Other Program Specific SEP                             | the facility will perform a Supplemental Environmental Project (SEP) which will eliminate the use of chlorine gas at its cooling towers and water treatment systems in favor of a sodium hypochlorite solution.   | \$190,000         | \$130,000.00                |
| 94 | 06-2015-0507 | GEORGIA PACIFIC CROSSETT PAPER OPERATIONS | EPCRA 325 Action For Penalty         | 06/10/2015                 | Other Program Specific SEP                             | Providing much need emergency equipment for the Crossett Fire Department.   | \$124,998         | \$27,500.00                 |
| 95 | 07-2010-0087 | DPC ENTERPRISES                           | Civil Judicial Action                | 03/24/2015                 | Pollution Prevention/Equipment-Technology Modification | SUPPLEMENTAL ENVIRONMENTAL PROJECT INVOLVES THE INSTALLATION OF CONTAINER FILL SCALE MONITORING EQUIPMENT AT THE FESTUS AND BELLEVUE CHLORINE REPACKAGING FACILITIES, AND AT FOUR OTHER CHLORINE REPACKAGING FACILITIES OPERATED BY DEFENDANTS, AT AN ESTIMATED COST OF \$111,764. THE INSTALLATION OF THIS EQUIPMENT WILL REDUCE THE RISK OF FUTURE CHLORINE RELEASES. | \$111,764         | \$199,000.00                |

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|----|--------------|--|---|----------------------------|--|---|-------------------|-----------------------------|
| 96 | 07-2014-0200 | INHANCE TECHNOLOGIES LLC FKA FLUORO SEAL INTERNATIONAL, LP (NATIONAL CASE)(LEAD) | CAA 113D1 Action For Penalty/EPCRA 2325 for Penalty | 01/06/2015                 | Pollution Reduction                                    | THIS IS A POLLUTION PREVENTION SEP - THE RESPONDENT WILL DESIGN, INSTALL AND IMPLEMENT A RECYCLING SYSTEM TO REDUCE THE AMOUNT OF RESIDUAL HYDROGEN FLUORIDE DIRECTED TO THE ALUMINUM OXIDE SCRUBBERS. IT WILL BE IMPLEMENTED AT FIVE FACILITIES.                                 | \$180,000         | \$56,050.00                 |
| 97 | 07-2015-0229 | DIXON ELEVATOR CO  | CAA 113D1 Action For Penalty                        | 05/18/2015                 | Emergency Planning and Preparedness                    | DONATION OF GRAIN RESCUE EQUIPMENT TO TWO LOCAL FIRE DEPARTMENTS.   | \$12,000          | \$27,150.00                 |
| 98 | 07-2015-0316 | WILBUR-ELLIS COMPANY   | CAA 113D1 Action For Penalty                        | 07/16/2015                 | Emergency Planning and Preparedness                    | DONATION OF SELF-CONTAINED BREATHING APPARATUS AND RELATED EQUIPMENT TO THE SEDGWICK FIRE DEPARTMENT AND FIVE 800 MHZ EMERGENCY RESPONDER RADIOS TO DONIPHAN COUNTY.  | \$113,121         | \$67,404.00                 |
| 99 | 07-2015-0370 | CARGILL INCORPORATED   | CAA 113D1 Action For Penalty                        | 09/09/2015                 | Pollution Prevention/Equipment-Technology Modification | CARGILL WILL SPEND AT LEAST \$155,000 TO IMPLEMENT A SEP INCORPORATING SEALLESS PUMP TECHNOLOGY AT ITS FACILITY. CARGILL WILL REPLACE CERTAIN MECHANICAL SEAL PUMPS AT THE FACILITY THAT HAVE THE POTENTIAL TO LEAK HAPs AND OR VOCs, WITH EQUIPMENT THAT IS DESIGNED NOT TO LEAK | \$155,000         | \$110,000.00                |

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|-----|--------------|---------------------------------|-------------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 100 | 07-2015-0076 | RAYMORE, MISSOURI (THE CITY OF) | CWA 309G2A AO For Class I Penalties | 02/05/2015                 | Pollution Reduction                                    | CREATION OF TWO RAIN GARDENS ALONG AN URBAN STREAM THAT IS A TRIBUTARY TO THE SOUTH GRAND RIVER. THE RAIN GARDENS HAVE AN ESTIMATED COST OF \$15,810 AND WILL BE CONSTRUCTED ALONG THE EATLE GLENN TRAIL IN RAYMORE. EACH RAIN GARDEN WILL BE MADE OF A BIO-RETENTION MIX THAT IS 18 INCHES DEEP AND 450 SQUARE FEET OF PLANTING AREA. THEY WILL BE DESIGNED TO REDUCE EROSION, REDUCE POLLUTANTS FROM PETS, AND CAPTURE FIRST FLUSH RUNOFF FROM ADJACENT ROADWAYS PRIOR TO ITS DISCHARGE INTO THE STREAM. | \$15,810          | \$22,000.00                 |
| 101 | 07-2015-0280 | VILLAGE OF SPALDING, NEBRASKA   | CWA 309G2A AO For Class I Penalties | 06/18/2015                 | Pollution Prevention/Energy Efficiency-Conservation    | RESPONDENT WILL REPLACE 30 STANDARD STREET/PARKING LOT LIGHT FIXTURES WITH ENERGY EFFICIENT, LIGHT EMITTING DIODES.  | \$9,750           | \$4,650.00                  |
| 102 | 07-2015-0281 | CITY OF ST PETERS, MISSOURI     | CWA 309G2A AO For Class I Penalties | 06/18/2015                 | Pollution Prevention/Equipment-Technology Modification | RESPONDENT WILL REPLACE FIVE OF THE EXISTING STANDARD EFFICIENCY ELECTRIC MOTORS AT THE OLDEST TWO OF THE THREE WATER BOOSTER PUMPING STATIONS WITH PREMIUM EFFICIENCY MOTORS.   | \$17,000          | \$5,760.00                  |

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|-----|--------------|--|-------------------------------------|----------------------------|-------------------------------------|--|-------------------|-----------------------------|
| 103 | 07-2015-0385 | AUDUBON MATERIALS LLC DBA CENTRAL CEMENT COMPANY LLC                     | CWA 309G2A AO For Class I Penalties | 09/28/2015                 | Pollution Reduction                 | THE PROJECT REPLACES CITY WATER USED TO SLURRY FLY ASH WITH WATER PUMPED FROM THE FACILITY'S UNDERGROUND MINE. THE MINE WATER (BEFORE THE PROJECT) WAS PUMPED AND DISCHARGED INTO THE MISSOURI RIVER. A TOTAL OF 24,192,000 GALLONS PER YEAR IS BEING DIVERTED FROM THE RIVER. | \$77,911          | \$21,246.00                 |
| 104 | 07-2015-0389 | PINK HILL ACRES, INC   | CWA 309G2A AO For Class I Penalties | 09/24/2015                 | Pollution Reduction                 | THE SEP PROPOSAL IS TO DEMOLISH, REMOVE DEBRIS, GRADE AND SEED IMPERVIOUS AREAS IN THE MUNICIPAL FARMS COMPLEX WITHIN THE BLUE RIVER WATERSHED TO RESTORE THESE AREAS TO GREEN SPACES.   | \$30,000          | \$15,000.00                 |
| 105 | 07-2015-0394 | AUDUBON READYMIS LLC DBA QUICKSILVER READYMIX LLC                        | CWA 309G2A AO For Class I Penalties | 09/29/2015                 | Pollution Reduction                 | CONSTRUCT SHALLOW DEPTH NATIVE GRASS VEGETATED SWALE. INCREASE DEPTH IN BASIN, MODIFICATION OF OUTFALL TO ALLOW DIVERSION TO GRASS SWALE.  | \$13,123          | \$3,000.00                  |
| 106 | 07-2015-0176 | HUGGINS METAL FINISHING, INC DBA SULLIVAN PRECISION METAL FINISHING, INC | EPCRA 325 Action For Penalty        | 03/30/2015                 | Emergency Planning and Preparedness | RESPONDENT IS DONATING 8 SELF CONTAINED BREATHING APPARATUS (SCBA) MASKS TO THE SULLIVAN FIRE PROTECTION DISTRICT AT THE COST OF \$1,200.  | \$1,200           | \$5,943.00                  |

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|-----|--------------|--|---------------------------------------|----------------------------|---|---|-------------------|-----------------------------|
| 107 | 07-2015-0020 | UNITED STATES DEPARTMENT OF VETERANS AFFAIRS KANSAS CITY VA MEDICAL CENTER (FF)        | RCRA 3008A AO For Comp And/Or Penalty | 11/17/2014                 | Pollution Prevention/Energy Efficiency-Conservation | REPLACE 437 FLUORESCENT LIGHT BULBS WITH LED LIGHTS AND SENSORS. ENERGY SEP AND GHG SEP.  | \$90,335          | \$27,730.00                 |
| 108 | 07-2015-0404 | THE CURATORS OF THE UNIVERSITY OF MISSOURI - UMKC VOLKER CAMPUS AND UMKC DENTAL SCHOOL | RCRA 3008A AO For Comp And/Or Penalty | 09/30/2015                 | Pollution Reduction                                 | RESPONDENT SHALL UPGRADE CURRENT HAZARDOUS MATERIALS COMPUTER INVENTORY SYSTEM ON ALL OF THE FACILITIES AT THE UNIVERSITY OF MISSOURI - KANSAS CITY | \$32,436          | \$32,679.00                 |
| 109 | 07-2015-0080 | RDF, INC DBA PAUL DAVIS RESTORATION  | TSCA 16 Action For Penalty            | 02/05/2015                 | Public Health                                       | REPLACE 25 WINDOWS IN TWO PRE 1978 HOMES.   | \$27,304          | \$3,033.00                  |
| 110 | 07-2015-0341 | BTM INVESTMENTS I, LLC   | TSCA 16 Action For Penalty            | 08/24/2015                 | Public Health                                       | REMOVE AND REPLACE 24 WINDOWS AT TWO PROPERTIES IN OMAHA, NEBRASKA.   | \$13,017          | \$1,344.00                  |
| 111 | 07-2015-0372 | BORDNER INSTALLATION GROUP INC   | TSCA 16 Action For Penalty            | 09/09/2015                 | Public Health                                       | REMOVE AND REPLACE 28 WINDOWS VIA ABATEMENT AT GRACE UNITED COMMUNITY MINISTRIES, LOCATED IN KANSAS CITY, MISSOURI. THE PROPERTY WAS BUILT IN 1906. | \$20,000          | \$2,198.00                  |
| 112 | 08-2013-0150 | NOBLE ENERGY   | Civil Judicial Action                 | 06/02/2015                 | Assessments and Audits                              | Pressurized liquid sampling study to improve the reliability of hydrocarbon liquids sampling and analysis procedures                                | \$1,000,000       | \$3,475,000.00              |

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|-----|--------------|--|----------------------------|----------------------------|----------------------------|--|-------------------|-----------------------------|
| 112 | 08-2013-0150 | NOBLE ENERGY                                 | Civil Judicial Action      | 06/02/2015                 | Pollution Reduction        | Wood Stove change out program  | \$1,000,000       | \$3,475,000.00              |
| 113 | 09-2008-0507 | KAPAA LANDFILL / CITY AND COUNTY OF HONOLULU | Civil Judicial Action      | 07/02/2015                 | Other Program Specific SEP | Defendant shall install a photovoltaic system at Defendant's waste-to-energy facility, the H-POWER facility ("H-POWER"), located at 91-174 Hanua Street, Kapolei, Hawaii 96707. Defendant shall install photovoltaic modules on at least 261,857 square feet of buildings and open space area at H-POWER. SEP Energy Output Requirement of at least 15,056 MWh of energy with the SEP. | \$16,054,974      | \$875,000.00                |
| 114 | 09-2015-7014 | PIINI REALTY, INC                            | TSCA 16 Action For Penalty | 08/21/2015                 | Public Health              | Remove and replace windows, doors, and/or kitchen cabinets (Subject Components) coated with or otherwise containing lead-based paint consistent with Paragraph 32 at residential rental properties constructed prior to 1978 that Respondent owns/manages.   | \$38,255          | \$4,250.00                  |



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|-----------------|--------------|---------------------------------------|------------------------------|----------------------------|--|--|-------------------|-----------------------------|
| 115             | 09-2015-7501 | IMPERIAL IRRIGATION DISTRICT PCB CAFO | TSCA 16 Action For Penalty   | 02/11/2015                 | Environmental Restoration and Protection               | The Imperial Irrigation District must hire an independent auditor to conduct audits of 9 inactive electricity substations located in Brawley, Calexico, Indio, Mecca, and El Centro. The audit will examine all PCB-containing equipment and conduct soil sampling at the properties. Any soil contamination in violation of TSCA discovered during the audit will be cleaned up and any remaining PCB-containing equipment will be removed. The deadline for completion of the audits is 17 months after EPA's approval of the auditor. | \$542,812         | \$379,768.00                |
| 116             | 10-2015-0060 | SHINING OCEAN, INC.                   | EPCRA 325 Action For Penalty | 06/09/2015                 | Emergency Planning and Preparedness                    | The SEP includes upgrading the ammonia sensors, adding ammonia sensors, installing cameras to observe the ammonia system remotely, installing power supplies to ensure uninterrupted power during blackouts or brownouts, adding ammonia release alarms, replacing ventilation fan, replace the "brains" of the plant monitoring system, connecting the production office to the plant monitoring system, adding performance monitoring to compressors, and upgrading software.  | \$87,500          | \$16,575.00                 |
| 117             | 10-2015-0098 | WILCOX FARMS, INC.                    | EPCRA 325 Action For Penalty | 06/09/2015                 | Pollution Prevention/Equipment-Technology Modification | A diesel boiler at the facility location will be converted to a propane boiler with an emergency shut-down system to decrease emissions of pollutants into the air.  | \$96,000          | \$15,625.00                 |
| Total SEP Costs |              |                                       |                              |                            |  |  | \$37,895,668      |                             |